Hoffman Wealth Advisors — Privacy Policy
Effective 06/29/2025

1 | Our Commitment to Your Privacy

Hoffman Wealth Advisors, LLC ("HWA," "we," "our," or "the Firm") is committed to safeguarding the confidentiality of the personal information you entrust to us. We comply with the SEC's Regulation S-P, Texas Administrative Code § 116.17, and all other applicable federal and state privacy rules. **We do not sell your information to anyone.**
You receive this notice when you become a client and, thereafter, at least once a year. If our practices change materially, we will send an updated notice and, where required, give you the chance to opt out of any new information-sharing program.

2 | Information We Collect

We collect only the non-public personal information ("NPI") necessary to open, service, and safeguard your accounts and to satisfy legal obligations. This information comes from:

- **Forms or applications** you complete (online or on paper).
- **Transactions and account activity** with custodians or other financial institutions.
- **Communications** you have with us (phone calls, e-mails, secure portal messages, meetings).
- **Public records or other lawful sources**, when permitted.

The information may include:

- Identifiers such as name, date of birth, Social Security number, driver's-license or passport data.
- Contact details such as mailing address, e-mail address, and telephone numbers.
- Financial data such as account numbers, balances, tax information, income, assets, and liabilities.
- Digital information such as IP addresses or cookies generated when you visit our website or client portal.
- Estate-planning or trust documents, if you choose to share them.

3 | How We Use Your Information

We use your information to:

1. Establish, maintain, and service investment accounts.
2. Provide portfolio-management and financial-planning advice tailored to your goals.
3. Process trades, deposits, withdrawals, and other transactions.
4. Communicate with you about performance, market conditions, and regulatory matters.
5. Conduct identity verification, anti-money-laundering checks, and other risk-management activities.
6. Fulfill legal, regulatory, and tax-reporting requirements.

4 | How and When We Share Your Information

We share NPI only when it is essential to deliver our services, fulfill a legal obligation, or protect your interests.

- **Custodians and broker-dealers** (for example Fidelity or Charles Schwab) receive information required to establish and service your accounts and to execute transactions.
- **Third-party service providers**—such as auditors, portfolio-management software vendors, data-backup services, and IT-security firms—receive only the information they need to perform their contracted tasks and are bound by confidentiality agreements.
- **Government agencies, regulators, or courts** may receive information when we are compelled to comply with subpoenas, examinations, or other legal processes.
- **Affiliated entities** (if we establish any in the future) may receive information to offer integrated services, but only with your consent when required.

HWA does **not** share your information with non-affiliated third parties for their own marketing.

5 | Safeguarding Your Information

To protect your privacy, we maintain:

- **Physical safeguards** – locked file cabinets, restricted-access offices.
- **Electronic safeguards** – encrypted storage, secure servers, multi-factor authentication, and firewalls.
- **Procedural safeguards** – a written information-security program, mandatory employee training, and vendor-due-diligence reviews.

We keep client records for at least seven years after the advisory relationship ends—or longer if laws or regulations require. When records are no longer needed, we dispose of paper documents via cross-cut shredding and permanently wipe electronic media.

6 | Your Privacy Rights

- **Access and correction.** You may review the personal information we hold about you and request corrections.
- **Opt-out.** Because we currently do not share NPI with non-affiliated third parties for marketing purposes, no opt-out is necessary. If our practices change, we will notify you in advance and provide opt-out instructions.
- **Updated policy.** You may request the most recent version of this notice at any time.

To exercise these rights, e-mail Cort@hoffmanwealthadvisors.com or call 214-997-3130.

7 | Changes to This Policy

If we make material changes to the way we handle your information, we will notify you by e-mail or prominent website notice at least thirty days before the changes take effect. The most current policy is always available on our website.

Hoffman Wealth Advisors, LLC
Principal Office: 6347 Lake Bluff Dr, Dallas, TX 75249
Registered Agent Address: 5900 Balcones Dr, Ste 100, Austin, TX 78731
Phone: 214-997-3130
E-mail: cort@hoffmanwealthadvisors.com